

# Computational Theory

## Context-free Languages

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Adapted from notes by Russ Ross

Adapted from notes by Harry Lewis

# Context-free Grammars

**Reading:** Sipser §2.1 Context-free Grammars

# Formal Definitions for CFGs

► A CFG  $G = (V, \Sigma, R, S)$

$V$  = Finite set of **variables** (or **nonterminals**)

$\Sigma$  = The alphabet, a finite set of **terminals** ( $V \cap \Sigma = \emptyset$ ).

$R$  = A finite set of **rules**, each of the form  $A \rightarrow w$   
for  $A \in V$  and  $w \in (V \cup \Sigma)^*$ .

$S$  = The **start variable**,  $S \in V$

e.g.  $(\{S\}, \{a, b\}, \{S \rightarrow aSb, S \rightarrow \varepsilon\}, S)$

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- ▶ **Derivations:** For  $\alpha, \beta \in (V \cup \Sigma)^*$  (strings of terminals and nonterminals),

$\alpha \Rightarrow \beta$  (" **$\alpha$  yields  $\beta$** ") if  $\alpha = uAv, \beta = uvw$ , for some  $u, v \in (V \cup \Sigma)^*$ , and  $R$  contains rule  $A \rightarrow w$ .

$\alpha \xRightarrow{*} \beta$  (" **$\alpha$  derives  $\beta$** ") if there is a sequence  $\alpha_0, \dots, \alpha_k$  for  $k \geq 0$  such that  $\alpha_0 = \alpha, \alpha_k = \beta$ , and  $\alpha_{i-1} \Rightarrow \alpha_i$  for each  $i = 1, \dots, k$ .

# Definition of Context-free Language

- ▶ The set of strings that can be derived from a context-free grammar is the language generated by the grammar.

$$L(G) = \{w \mid w \text{ can be derived by } G\}$$

$$L(G) = \{w \in \Sigma^* : S \xRightarrow{*} w\} \text{ (strings of terminals only!)}$$

- ▶ Any language that can be generated by a context-free grammar is a context-free language (CFL).

# Example CFG $G_1$

▶  $G_1$ :

$$A \rightarrow 0A1$$

$$A \rightarrow B$$

$$B \rightarrow \#$$

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$\{0^n\#1^n \mid n \geq 0\}$

# Parse Trees

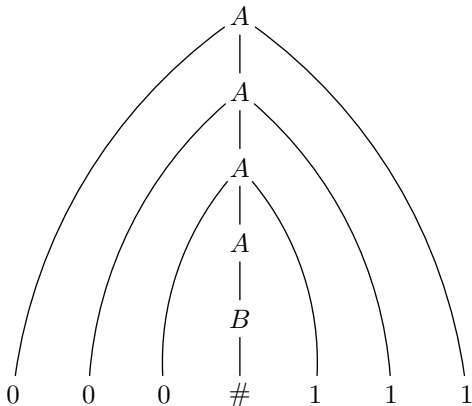
- ▶ A parse tree is a pictorial representation of a single derivation.
- ▶ The parse tree for  $w = 000\#111$ , derived from  $G_1$ .

$G_1$ :

$A \rightarrow 0A1$

$A \rightarrow B$

$B \rightarrow \#$



# More examples of CFGs

## ▶ Arithmetic Expressions

$G_2$ :

$$EXPR \rightarrow TERM \mid EXPR + TERM$$
$$TERM \rightarrow TERM * FACTOR \mid FACTOR$$
$$FACTOR \rightarrow (EXPR) \mid x \mid y$$

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- ▶ Derived strings?
- ▶  $L(G_2)$ ?
- ▶ Parse tree for some string?

## More examples of CFGs

- ▶  $L(G_3) = \{x \in \{(,)\}^* : \text{parentheses in } x \text{ are properly 'balanced'}\}$ .  
 $G_3 = ?$

- ▶  $L(G_4) = \{x \in \{a, b\}^* : x \text{ has the same \# of } a\text{'s and } b\text{'s}\}$ .  
 $G_4 = ?$

# Chomsky Normal Form

**Def:** A grammar is in **Chomsky normal form** if

- ▶ the only possible rule with  $\varepsilon$  as the RHS is  $S \rightarrow \varepsilon$   
(Of course, this rule occurs iff  $\varepsilon \in L(G)$ )
- ▶ Every other rule is of the form
  1.  $X \rightarrow YZ$   
where  $X, Y, Z$  are variables
  2.  $X \rightarrow \sigma$   
where  $X$  is a variable and  $\sigma$  is a single terminal symbol

# Transforming a CFG into Chomsky Normal Form

## Definitions:

- ▶  **$\epsilon$ -rule:** one of the form  $X \rightarrow \epsilon$
- ▶ **Long Rule:** one of the form  $X \rightarrow \alpha$  where  $|\alpha| > 2$
- ▶ **Unit Rule:** one of the form  $X \rightarrow Y$   
where  $X, Y \in V$
- ▶ **Terminal-Generating Rule:** one of the form  $X \rightarrow \alpha$   
where  $\alpha \notin V^*$  and  $|\alpha| \geq 1$  ( $\alpha$  has at least one terminal)

# Eliminate non-Chomsky-Normal-Form Rules in Order:

1. All  $\varepsilon$ -rules, except maybe  $S \rightarrow \varepsilon$
2. All unit rules
3. All long rules
4. All terminal-generating rules

Note: while eliminating rules of type  $j$ , we make sure not to reintroduce rules of type  $i < j$ .

# Eliminating $\varepsilon$ -Rules

0. Ensure start variable does not appear on the RHS of any rule (by adding new start variable with rule  $S \rightarrow S_{old}$  if necessary).
  1. To eliminate  $\varepsilon$ -rules, repeatedly do the following:
    - a. Pick a  $\varepsilon$ -rule  $Y \rightarrow \varepsilon$  and remove it.
    - b. Given a rule  $X \rightarrow \alpha$ , where  $\alpha$  contains  $n$  occurrences of  $Y$ , replace it with  $2^n$  rules in which  $0, \dots, n$  occurrences are replaced by  $\varepsilon$ . (Do not add  $X \rightarrow \varepsilon$  if previously removed.)
- e.g.

$$X \rightarrow aYZbY \quad \Rightarrow$$

(Why does this terminate?)

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- e.g.

$$\begin{array}{l}
 X \rightarrow aYZbY \\
 X \rightarrow aYZb \\
 X \rightarrow aZb \\
 X \rightarrow aZb
 \end{array}
 \Rightarrow
 \begin{array}{l}
 X \rightarrow aYZbY \\
 X \rightarrow aZbY \\
 X \rightarrow aYZb \\
 X \rightarrow aZb
 \end{array}$$

(Why does this terminate?)

# Eliminating Unit and Long Rules

2. To eliminate unit rules, repeatedly do the following:
  - a. Pick a unit rule  $A \rightarrow B$  and remove it.
  - b. For every rule  $B \rightarrow u$ , add rule  $A \rightarrow u$  unless this is a unit rule that was previously removed.
3. To eliminate long rules, repeatedly do the following:
  - a. Remove a long rule  $A \rightarrow u_1 u_2 \cdots u_k$ , where each  $u_i \in V \cup \Sigma$  and  $k \geq 3$ .
  - b. Replace with rules  $A \rightarrow u_1 A_1, A_1 \rightarrow u_2 A_2, \dots, A_{k-2} \rightarrow u_{k-1} u_k$ , where  $A_1, \dots, A_{k-2}$  are newly introduced variables used only in these rules.



# Eliminating Terminal-Generating Rules

4. To eliminate terminal-generating rules:
  - a. For each terminal  $a$  introduce a new nonterminal  $A$ .
  - b. Add the rules  $A \rightarrow a$
  - c. “Capitalize” existing rules, e.g.  
replace  $X \rightarrow aY$   
with  $X \rightarrow AY$

# Example of Transformation to Chomsky Normal Form

Starting grammar:

$$S \rightarrow XX$$

$$X \rightarrow aXb \mid \varepsilon$$

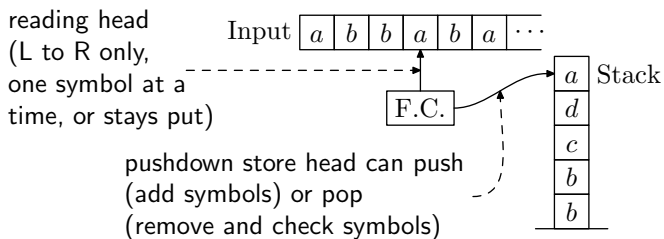
# Pushdown Automata

**Reading:** Sipser §2.2.

# Pushdown Automata

A **pushdown automaton** = a finite automaton + “pushdown store”.

The **pushdown store** is a stack of symbols of unlimited size which the machine can read and alter only at the top.



Transitions of PDA are of form  $(q, \sigma, \gamma) \mapsto (q', \gamma')$ , which means:

If in state  $q$  with  $\sigma$  on the input tape and  $\gamma$  on top of the stack, replace  $\gamma$  by  $\gamma'$  on the stack and enter state  $q'$  while advancing the reading head over  $\sigma$ .

## (Nondeterministic) PDA for “even palindromes”

$$\{ww^R : w \in \{a, b\}^*\}$$

- $(q, a, \varepsilon) \mapsto (q, a)$  Push  $a$ 's
- $(q, b, \varepsilon) \mapsto (q, b)$  and  $b$ 's
- $(q, \varepsilon, \varepsilon) \mapsto (r, \varepsilon)$  switch to other state
- $(r, a, a) \mapsto (r, \varepsilon)$  pop  $a$ 's matching input
- $(r, b, b) \mapsto (r, \varepsilon)$  pop  $b$ 's matching input

So the precondition  $(q, \sigma, \gamma)$  means that

- ▶ the next  $|\sigma|$  symbols (0 or 1) of the input are  $\sigma$  and
- ▶ the top  $|\gamma|$  symbols (0 or 1) on the stack are  $\gamma$

# (Nondeterministic) PDA for “even palindromes”

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- $(q, b, \varepsilon) \mapsto (q, b)$     and  $b$ 's
- $(q, \varepsilon, \varepsilon) \mapsto (r, \varepsilon)$     switch to other state
- $(r, a, a) \mapsto (r, \varepsilon)$     pop  $a$ 's matching input
- $(r, b, b) \mapsto (r, \varepsilon)$     pop  $b$ 's matching input

Need to test whether stack empty: push \$ at beginning and check at end.

$$(q_0, \varepsilon, \varepsilon) \mapsto (q, \$)$$

$$(r, \varepsilon, \$) \mapsto (q_f, \varepsilon)$$

# Language acceptance with PDAs

A PDA **accepts** an input string

If there is a computation that starts

- ▶ in the start state
- ▶ with reading head at the beginning of string
- ▶ with the stack empty

and ends

- ▶ in a final state
- ▶ with all the input consumed

A PDA computation becomes “blocked” (i.e. “dies”) if

- ▶ no transition matches **both** the input and stack

# Formal definition of a PDA

$$M = (Q, \Sigma, \Gamma, \delta, q_0, F)$$

$Q$  = states

$\Sigma$  = input alphabet

$\Gamma$  = stack alphabet

$\delta$  = transition function

$$Q \times (\Sigma \cup \{\varepsilon\}) \times (\Gamma \cup \{\varepsilon\}) \rightarrow \mathcal{P}(Q \times (\Gamma \cup \{\varepsilon\}))$$

$q_0$  = start state

$F$  = final states



# Computation by a PDA

- ▶  $M$  **accepts**  $w$  if we can write  $w = w_1 \cdots w_m$ , where each  $w_i \in \Sigma \cup \{\varepsilon\}$ , and there is a sequence of states  $r_0, \dots, r_m$  and **stack strings**  $s_0, \dots, s_m \in \Gamma^*$  that satisfy
  1.  $r_0 = q_0$  and  $s_0 = \varepsilon$ .
  2. For each  $i$ ,  $(r_{i+1}, \gamma') \in \delta(r_i, w_{i+1}, \gamma)$  where  $s_i = \gamma t$  and  $s_{i+1} = \gamma' t$  for some  $\gamma, \gamma' \in \Gamma \cup \{\varepsilon\}$  and  $t \in \Gamma^*$ .
  3.  $r_m \in F$ .
- ▶  $L(M) = \{w \in \Sigma^* : M \text{ accepts } w\}$ .

PDA for  $\{w \in \{a, b\}^* : \#_a(w) = \#_b(w)\}$

# PDA for $\{w \in \{a, b\}^* : \#_a(w) = \#_b(w)\}$

## Strategy:

- ▶ Keep  $|\#_a(w) - \#_b(w)| = n$  on stack in form of  $1^n\$$ .
- ▶ Keep the **sign** of  $\#_a(w) - \#_b(w)$  in the state:
  - + or 0  $\Rightarrow$  state  $q_+$
  - or 0  $\Rightarrow$  state  $q_-$

# Equivalence of CFGs and PDAs

**Thm:** The class of languages recognized by PDAs is the CFLs.

- I. For every CFG  $G$ ,  
there is a PDA  $M$   
with  $L(M) = L(G)$
- II. For every PDA  $M$ ,  
there is a CFG  $G$   
with  $L(G) = L(M)$

# Proof that every CFL is accepted by some PDA

Let  $G = (V, \Sigma, R, S)$

We'll allow a generalized sort of PDA that can push **strings** onto stack.

E.g.,  $(q, a, b) \mapsto (r, cd)$

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The corresponding PDA has just 3 states:

$q_{start} \sim$  start state

$q_{loop} \sim$  “main loop” state

$q_{accept} \sim$  final state

Stack alphabet =  $V \cup \Sigma \cup \{\$\}$

# CFL $\Rightarrow$ PDA, Continued: The Transitions of the PDA

## Transitions:

▶  $\delta(q_{start}, \varepsilon, \varepsilon) = \{(q_{loop}, S\$)\}$

“Start by putting  $S\$$  on the stack, & go to  $q_{loop}$ ”

▶  $\delta(q_{loop}, \varepsilon, A) = \{(q_{loop}, w)\}$  for each rule  $A \rightarrow w$

“Remove a variable from the top of the stack and replace it with a corresponding righthand side”

▶  $\delta(q_{loop}, \sigma, \sigma) = \{(q_{loop}, \varepsilon)\}$  for each  $\sigma \in \Sigma$

“Pop a terminal symbol from the stack if it matches the next input symbol”

▶  $\delta(q_{loop}, \varepsilon, \$) = \{(q_{accept}, \varepsilon)\}$ .

“Go to accept state if stack contains only  $\$$ .”

# Example

- ▶ Consider grammar  $G$  with rules  $\{S \rightarrow aSb, S \rightarrow \varepsilon\}$   
(so  $L(G) = \{a^n b^n : n \geq 0\}$ )

- ▶ Construct PDA

$$M = (\{q_{start}, q_{loop}, q_{accept}\}, \{a, b\}, \{a, b, S, \$\}, \delta, q_{start}, \{q_{accept}\})$$

Transition Function  $\delta$ :

- ▶ Derivation  $S \Rightarrow aSb \Rightarrow aaSbb \Rightarrow aabb$

Corresponding Computation:



# The Dual Bottom-Up CFG $\rightarrow$ PDA Construction

▶  $\delta(q_{\text{start}}, \varepsilon, \varepsilon) = \{(q_{\text{loop}}, \$)\}$

“Start by putting \$ on the stack, & go to  $q_{\text{loop}}$ ”

▶  $\delta(q_{\text{loop}}, \sigma, \varepsilon) = \{(q_{\text{loop}}, \sigma)\}$  for each  $\sigma \in \Sigma$

“Shift input symbols onto the stack”

▶  $\delta(q_{\text{loop}}, \varepsilon, w^{\mathcal{R}}) = \{(q_{\text{loop}}, A) : A \rightarrow w \text{ is a rule of } G\}$

“Reduce right-hand sides on the stack to corresponding left-hand sides”

▶  $\delta(q_{\text{loop}}, \varepsilon, S\$) = \{q_{\text{accept}}, \varepsilon\}$

“Accept if the stack consists just of  $S$  above the bottom-marker”

# Proof that for every PDA $M$ there is a CFG $G$ such that $L(M) = L(G)$

- ▶ First modify PDA  $M$  so that
  - ▶ Single accept state.
  - ▶ All accepting computations end with empty stack.
  - ▶ In every step, push a symbol or pop a symbol but not both.

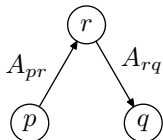
# Design of the grammar $G$ equivalent to PDA $M$

- ▶ Variables:  $A_{pq}$  for every two states  $p, q$  of  $M$
- ▶ Goal:  $A_{pq}$  generates all strings that can take  $M$  from  $p$  to  $q$ , beginning and ending with an empty stack.
- ▶ Rules:
  - ▶ For all states  $p, q, r$ ,  $A_{pq} \rightarrow A_{pr}A_{rq}$
  - ▶ For states  $p, q, r, s$  and  $\sigma, \tau \in \Sigma$ ,  
 $A_{pq} \rightarrow \sigma A_{rs} \tau$  if there is a stack symbol  $\gamma$   
 such that  $\delta(p, \sigma, \varepsilon)$  contains  $(r, \gamma)$   
 and  $\delta(s, \tau, \gamma)$  contains  $(q, \varepsilon)$
  - ▶ For every state  $p$ ,  $A_{pp} \rightarrow \varepsilon$
- ▶ Start variable:  $A_{q_{start} q_{accept}}$

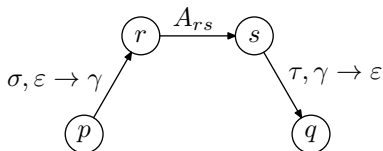
# Visualizing the Construction

How to generate all possible strings that could be recognized moving from state  $p$  with an empty stack to  $q$  with an empty stack? Two cases:

$$A_{pq} \rightarrow A_{pr}A_{rq}$$



$$A_{pq} \rightarrow \sigma A_{rs}\tau$$



1. If the stack is also empty in some middle state  $r$ , trace the path from  $p \rightarrow r$  then  $r \rightarrow q$
2. Else if  $p \rightarrow r$  pushes  $\gamma$  on the stack and  $s \rightarrow q$  pops it back off, generate  $\sigma A_{rs}\tau$ .

# Proof Sketch: the Grammar is Equivalent to the PDA

**Claim:**  $A_{pq} \xRightarrow{*} w$  if and only if  $w$  can take  $M$  from  $p$  to  $q$ , beginning & ending w/empty stack

$\Rightarrow$  Proof by induction on length of derivation

$\Leftarrow$  Proof by induction on length of computation

- ▶ Computation of length 0 (base case): Use  $A_{pp} \rightarrow \varepsilon$
- ▶ Stack empties sometime in middle of computation:  
Use  $A_{pq} \rightarrow A_{pr}A_{rq}$
- ▶ Stack does not empty in middle of computation:  
Use  $A_{pq} \rightarrow \sigma A_{rs}\tau$

# Context-free Grammars

**STOP:** End cgl.

# Context-free Grammars

**Reading:** Sipser §2.1 (except Chomsky Normal Form).

# Context-free Grammars

- ▶ Originated as abstract model for:
  - ▶ Structure of natural languages (Chomsky)
  - ▶ Syntactic specification of programming languages (Backus-Naur Form)



# Context-free Grammars

- ▶ Originated as abstract model for:
  - ▶ Structure of natural languages (Chomsky)
  - ▶ Syntactic specification of programming languages (Backus-Naur Form)
- ▶ A context-free grammar is a set of **generative rules** for strings

e.g.

$$G = \begin{array}{l} S \rightarrow aSb \\ S \rightarrow \varepsilon \end{array}$$

- ▶ A **derivation** looks like:

$$S \Rightarrow aSb \Rightarrow aaSbb \Rightarrow aabb$$

$$L(G) = \{\varepsilon, ab, aabb, \dots\} = \{a^n b^n : n \geq 0\}$$

# Equivalent Formalisms

## 1. Backus-Naur Form (aka BNF, Backus Normal Form)

due to John Backus and Peter Naur

$$\langle \text{term} \rangle ::= \langle \text{factor} \rangle \quad | \quad \langle \text{factor} \rangle * \langle \text{term} \rangle \\ \quad \quad \quad \quad \quad \quad | \quad \langle \text{factor} \rangle / \langle \text{term} \rangle$$

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# Equivalent Formalisms

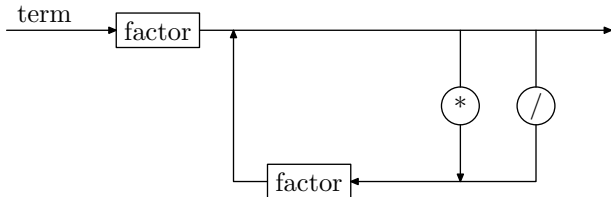
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## 2. “Railroad Diagrams”



# Formal Definitions for CFGs

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 $\alpha \xRightarrow{*}_G \beta$  (" **$\alpha$  yields  $\beta$** ") if there is a sequence  $\alpha_0, \dots, \alpha_k$  for  $k \geq 0$  such that  
 $\alpha_0 = \alpha, \alpha_k = \beta$ , and  $\alpha_{i-1} \Rightarrow_G \alpha_i$  for each  $i = 1, \dots, k$ .
- ▶  $L(G) = \{w \in \Sigma^* : S \xRightarrow{*}_G w\}$  (strings of terminals only!)

# More examples of CFGs

## ► Arithmetic Expressions

$G_1$ :

$$E \rightarrow x \mid y \mid E * E \mid E + E \mid (E)$$

$G_2$ :

$$E \rightarrow T \mid E + T$$

$$T \rightarrow T * F \mid F$$

$$F \rightarrow (E) \mid x \mid y$$

**Q:** Which is preferable? Why?



# Parse Trees

Derivations in a CFG can be represented by parse trees.

## **Examples:**

Each parse tree corresponds to many derivations, but has unique **leftmost derivation**.



# Parsing

**Parsing:** Given  $x \in L(G)$ , produce a parse tree for  $x$ . (Used to ‘interpret’  $x$ . Compilers parse, rather than merely recognize, so they can assign semantics to expressions in the source language.)

**Ambiguity:** A grammar is **ambiguous** if some string has two parse trees.

**Example:**

# Context-free Grammars and Automata

What is the fourth term in the analogy:

Regular Languages : Finite Automata  
as  
Context-free Languages : ???

# Regular Grammars

**Hint:** There is a special kind of CFGs, the **regular grammars**, that generate exactly the regular languages.

A CFG is **(right-)regular** if any occurrence of a nonterminal on the RHS of a rule is as the rightmost symbol.

## Turning a DFA into an equivalent Regular Grammar

- ▶ Variables are states.
- ▶ Transition  $\delta(P, \sigma) = R$   $\textcircled{P} \xrightarrow{\sigma} \textcircled{R}$   
becomes  $P \rightarrow \sigma R$
- ▶ If  $P$  is accepting, add rule  $P \rightarrow \varepsilon$

Example:  $\{x : x \text{ has an even \# of } a\text{'s and an even \# of } b\text{'s}\}$

**Other Direction:** Omitted.

# CFL Closure Properties and Non-Context-Free Languages

**Reading:** Sipser §2.3.

# Closure Properties of CFLs

- ▶ **Thm:** The CFLs are closed under
  - ▶ Union
  - ▶ Concatenation
  - ▶ Kleene \*
  - ▶ Intersection with a regular language

# Intersection of a CFL and a regular language is CF

**Pf sketch:** Let  $L_1$  be CF and  $L_2$  be regular

$L_1 = L(M_1)$ ,  $M_1$  a PDA

$L_2 = L(M_2)$ ,  $M_2$  a DFA

$Q_1 =$  state set of  $M_1$

$Q_2 =$  state set of  $M_2$

Construct a PDA with state set  $Q_1 \times Q_2$  which keeps track of computation of both  $M_1$  and  $M_2$  on input.

**Q: Why doesn't this argument work if  $M_1$  and  $M_2$  are both PDAs?**

In fact, the intersection of two CFLs is not necessarily CF.

And the complement of a CFL is not necessarily CF (Asst 5).

**Q:** How to prove that languages are not context free?

# Pumping Lemma for CFLs

**Lemma:** If  $L$  is context-free, then there is a number  $p$  (the **pumping length**) such that any  $s \in L$  of length at least  $p$  can be divided into  $s = uvxyz$ , where

1.  $uv^i xy^i z \in L$  for every  $i \geq 0$ ,
2.  $v \neq \varepsilon$  or  $y \neq \varepsilon$ , and
3.  $|vxy| \leq p$ .



# Using the Pumping Lemma to Prove a language non-context-free

$\{a^n b^n c^n : n \geq 0\}$  is not CF.

aaaaaaaaaaaaaaaa	bbbbbbbbbbbbbbbb	cccccccccccccccc
------------------	------------------	------------------

What are  $v, y$ ?

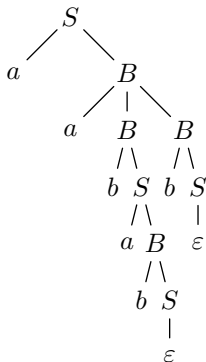
- ▶ Contain 2 kinds of symbols
- ▶ Contain only one kind of symbol

$\Rightarrow$  **Corollary:** CFLs not closed under intersection (why?)

Is the intersection of 2 CFLs or the complement of a CFL **sometimes** a CFL?

# Recall: Parse Trees

$$\begin{array}{l}
 S \rightarrow aB \mid bA \mid \varepsilon \\
 A \rightarrow aS \mid bAA \\
 B \rightarrow bS \mid aBB
 \end{array}$$

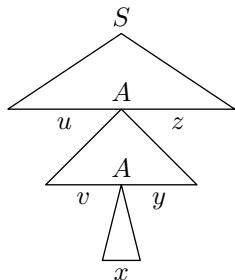


Parse tree for  
*aababb*, the “yield” of  
 the tree

**Height** = max length path from  $S$  to a terminal symbol = 6 in above example

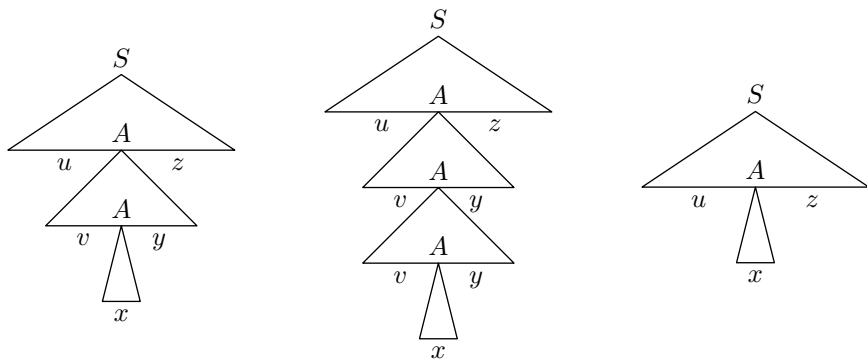
# Proof of Pumping Lemma

Show that there exists a  $p$  such that any string  $s$  of length  $\geq p$  has a parse tree of the form:



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## Finding “Repetition” in a big parse tree

- ▶ Since RHS of rules have bounded length, long strings must have tall parse trees
- ▶ A tall parse tree must have a path with a repeated nonterminal
- ▶ Let  $p = b^m + 1$ , where:
  - $b = \text{max length of RHS of a rule}$
  - $m = \# \text{ of variables}$
- ▶ Suppose  $T$  is the smallest parse tree for a string  $s \in L$  of length at least  $p$ . Then
  - Let  $h = \text{height of } T$ . Then  $b^h \geq p = b^m + 1$ ,
  - $\Rightarrow h > m$ ,
  - $\Rightarrow$  Path of length  $h$  in  $T$  has a repeated variable.

# Final annoying details

- ▶ **Q:** Why is  $v$  or  $y$  nonempty?
- ▶ **Q:** How to ensure  $|vxy| \leq p$ ?

# Context-Free Recognition

**Reading:** Sipser §2.1 (Chomsky Normal Form).

# Context-Free Recognition

- ▶ **Goal:** Given CFG  $G$  and string  $w$  to determine if  $w \in L(G)$
- ▶ **First attempt:** Construct a PDA  $M$  from  $G$  and run  $M$  on  $w$ .

- ▶ **Brute-Force Method:**

Check all parse trees of height up to some upper limit depending on  $G$  and  $|w|$

**Exponentially costly**

- ▶ **Better:**
  1. Transform  $G$  into Chomsky normal form (CNF) (once for  $G$ )
  2. Apply a special algorithm for CNF grammars (once for each  $w$ )



# Chomsky Normal Form

**Def:** A grammar is in **Chomsky normal form** if

- ▶ the only possible rule with  $\varepsilon$  as the RHS is  $S \rightarrow \varepsilon$   
(Of course, this rule occurs iff  $\varepsilon \in L(G)$ )
- ▶ Every other rule is of the form
  1.  $X \rightarrow YZ$   
where  $X, Y, Z$  are variables
  2.  $X \rightarrow \sigma$   
where  $X$  is a variable and  $\sigma$  is a single terminal symbol

# Transforming a CFG into Chomsky Normal Form

## Definitions:

- ▶  **$\epsilon$ -rule:** one of the form  $X \rightarrow \epsilon$
- ▶ **Long Rule:** one of the form  $X \rightarrow \alpha$  where  $|\alpha| > 2$
- ▶ **Unit Rule:** one of the form  $X \rightarrow Y$   
where  $X, Y \in V$
- ▶ **Terminal-Generating Rule:** one of the form  $X \rightarrow \alpha$   
where  $\alpha \notin V^*$  and  $|\alpha| > 1$  ( $\alpha$  has at least one terminal)

# Eliminate non-Chomsky-Normal-Form Rules in Order:

1. All  $\varepsilon$ -rules, except maybe  $S \rightarrow \varepsilon$
2. All unit rules
3. All long rules
4. All terminal-generating rules

Note: while eliminating rules of type  $j$ , we make sure not to reintroduce rules of type  $i < j$ .

# Eliminating $\varepsilon$ -Rules

0. Ensure start variable does not appear on the RHS of any rule (by adding new start variable with rule  $S \rightarrow S_{old}$  if necessary).
1. To eliminate  $\varepsilon$ -rules, repeatedly do the following:
  - a. Pick a  $\varepsilon$ -rule  $Y \rightarrow \varepsilon$  and remove it.
  - b. Given a rule  $X \rightarrow \alpha$ , where  $\alpha$  contains  $n$  occurrences of  $Y$ , replace it with  $2^n$  rules in which  $0, \dots, n$  occurrences are replaced by  $\varepsilon$ . (Do not add  $X \rightarrow \varepsilon$  if previously removed.)e.g.

$$X \rightarrow aYZbY \quad \Rightarrow$$

(Why does this terminate?)

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- e.g.

$$\begin{array}{l}
 X \rightarrow aYZbY \\
 X \rightarrow aYZbY \\
 X \rightarrow aYZb \\
 X \rightarrow aZb
 \end{array}
 \Rightarrow
 \begin{array}{l}
 X \rightarrow aYZbY \\
 X \rightarrow aZbY \\
 X \rightarrow aYZb \\
 X \rightarrow aZb
 \end{array}$$

(Why does this terminate?)

# Eliminating Unit and Long Rules

2. To eliminate unit rules, repeatedly do the following:
  - a. Pick a unit rule  $A \rightarrow B$  and remove it.
  - b. For every rule  $B \rightarrow u$ , add rule  $A \rightarrow u$  unless this is a unit rule that was previously removed.
3. To eliminate long rules, repeatedly do the following:
  - a. Remove a long rule  $A \rightarrow u_1 u_2 \cdots u_k$ , where each  $u_i \in V \cup \Sigma$  and  $k \geq 3$ .
  - b. Replace with rules  $A \rightarrow u_1 A_1, A_1 \rightarrow u_2 A_2, \dots, A_{k-2} \rightarrow u_{k-1} u_k$ , where  $A_1, \dots, A_{k-2}$  are newly introduced variables used only in these rules.

# Eliminating Terminal-Generating Rules

4. To eliminate terminal-generating rules:
  - a. For each terminal  $a$  introduce a new nonterminal  $A$ .
  - b. Add the rules  $A \rightarrow a$
  - c. “Capitalize” existing rules, e.g.  
replace  $X \rightarrow aY$   
with  $X \rightarrow AY$

# Example of Transformation to Chomsky Normal Form

Starting grammar:

$$S \rightarrow XX$$

$$X \rightarrow aXb \mid \varepsilon$$



## Benefit of CNF for Deciding if $w \in L(G)$

- ▶ **Observation:** If  $S \Rightarrow XY \Rightarrow^* w$ , then  $w = uv$ ,  $X \Rightarrow^* u$ ,  $Y \Rightarrow^* v$  where  $u, v$  are *strictly shorter* than  $w$ .
- ▶ **Divide and Conquer:** can decide whether  $S$  yields  $w$  by recursively determining which variables yield substrings of  $w$ .
- ▶ **Dynamic Programming:** record answers to all subproblems to avoid repeating work.



# Filling in the Matrix

- ▶ Calculate  $S_{ij}$  by induction on  $j - i$

- ▶ ( $j - i = 0$ )

$$S_{ii} = \{X : X \rightarrow a_i \text{ is a rule of } G\}$$

- ▶ ( $j - i > 0$ )

$$X \in S_{ij} \text{ iff } \exists \text{ rule } X \rightarrow YZ$$

$$\exists k : i \leq k < j$$

$$\text{such that } Y \in S_{ik}$$

$$Z \in S_{k+1,j}$$

e.g.  $w = abaabb$

# The Chomsky Normal Form Parsing Algorithm

for  $i \leftarrow 1$  to  $n$  do

$$S_{ii} = \{X : X \rightarrow a_i \text{ is a rule} \}$$

for  $d \leftarrow 1$  to  $n - 1$  do

for  $i \leftarrow 1$  to  $n - d$  do

$$S_{i,i+d} \leftarrow \bigcup_{j=i}^{i+d-1} \left\{ \begin{array}{l} X : X \rightarrow YZ \text{ is a rule,} \\ Y \in S_{ij}, Z \in S_{j+1,i+d} \end{array} \right\}$$

Complexity:  $\mathcal{O}(n^3)$ .

Of what does this triply nested loop remind you?

# Of what does this triply nested loop remind you?

- ▶ Matrix Multiplication
- ▶ In fact, better matrix multiplication algorithms yield (asymptotically) better general context free parsing algorithms
- ▶ Strassen's algorithm requires  $\mathcal{O}(n^{2.81})$  instead of  $\mathcal{O}(n^3)$  multiplications

# Summary of Context-Free Recognition

- ▶ CFL to PDA reduction yields nondeterministic automaton
- ▶ By use of Chomsky Normal Form and dynamic programming, there is a general  $\mathcal{O}(n^3)$  non-stack-based algorithm
- ▶ The deterministic CFLs are the languages recognizable by deterministic PDAs
- ▶ E.g.  $\{w c w^R : w \in \{a, b\}^*\}$  is a deterministic CFL but  $\{w w^R : w \in \{a, b\}^*\}$  (even palindromes) is not
- ▶ Methods used in compilers are deterministic stack-based algorithms, requiring that the source language be deterministic CF or a special type of deterministic CF ( $\text{LR}(k)$ , etc.)

# Beyond Context-Free

- ▶ A **Context-Sensitive Grammar** allows rules of the form  $\alpha \rightarrow \beta$ , where  $\alpha$  and  $\beta$  are strings and  $|\alpha| \leq |\beta|$ , so long as  $\alpha$  contains at least one nonterminal.
- ▶ The possibility of using rules such as  $aB \rightarrow aDE$  makes the grammar “sensitive to context”
- ▶ Is there an algorithm for determining whether  $w \in L(G)$  where  $G$  is a CSG?
- ▶ But the field moved, and now we also move, from syntactic structures to computational difficulty