

Computational Theory

Turing Machines

Curtis Larsen

Utah Tech University—Computing

Fall 2023

Adapted from notes by Russ Ross

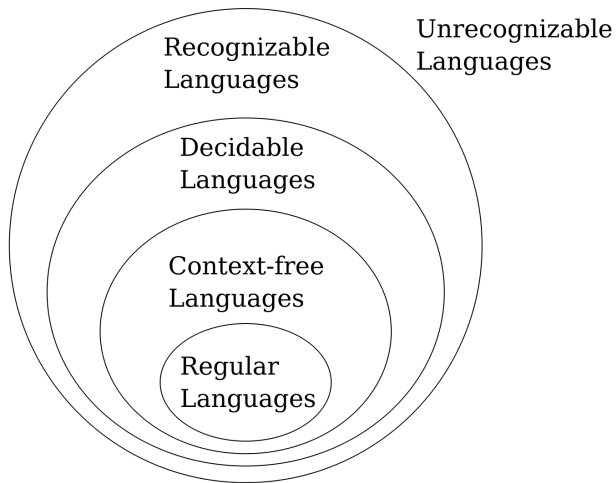
Turing Machines

Reading: Sipser §3.1.

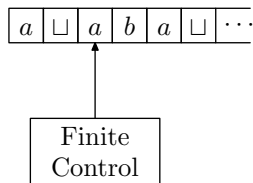
Status Update

- ▶ Regular languages: DFA, NFA, RE, PL for RL
- ▶ Context-free languages: CFG, PDA, PL for CFL
- ▶ Turing Machines:
 - ▶ Decidable languages
 - ▶ Recognizable languages
 - ▶ Unrecognizable languages

Status Update



The Basic Turing Machine



- ▶ Head can both read and write, and move in both directions.
- ▶ Tape has a beginning on the left, and unbounded length.
- ▶ \square is the blank symbol. All but a finite number of tape squares are blank.
- ▶ Accept and reject states take effect immediately, not waiting for end of input.

Formal Definition of a TM

A (deterministic) **Turing Machine (TM)** is a 7-tuple $(Q, \Sigma, \Gamma, \delta, q_0, q_{\text{accept}}, q_{\text{reject}})$, where:

- ▶ Q is a finite set of states
- ▶ Σ is the finite **input alphabet**; $\sqcup \notin \Sigma$
- ▶ Γ is the finite **tape alphabet**; $\sqcup \in \Gamma, \Sigma \subset \Gamma$
- ▶ $\delta: Q \times \Gamma \rightarrow Q \times \Gamma \times \{L, R\}$
- ▶ $q_0 \in Q$ is the **start state**
- ▶ $q_{\text{accept}} \in Q$ is the **accept state**
- ▶ $q_{\text{reject}} \in Q$ is the **reject state**; $q_{\text{reject}} \neq q_{\text{accept}}$

The transition function

$$Q \times \Gamma \rightarrow Q \times \Gamma \times \{L, R\}$$

- ▶ L and R are “move left” and “move right”
- ▶ $\delta(q, b) = (r, c, R)$
 - ▶ Rewrite b as c in current cell
 - ▶ Switch from state q to state r
 - ▶ And move right
- ▶ $\delta(q, b) = (r, c, L)$
 - ▶ Same as R , but move left
 - ▶ *Unless* at left end of tape, in which case stay put

Computation of TMs

- ▶ A **configuration** is uqv , where $q \in Q$, $u, v \in \Gamma^*$.
 - ▶ Tape contents = uv followed by all blanks
 - ▶ State = q
 - ▶ Head on first symbol of v .
 - ▶ Don't explicitly write the infinite number of \sqcup at the end of v .
- ▶ Start configuration = q_0w , where w is input.
- ▶ One step of computation: (configuration C_i yields C_{i+1})
 - ▶ Configuration = $uaqbv$; $u, v \in \Gamma^*$; $a, b \in \Gamma$; $q \in Q$.
 - ▶ $uaqbv \rightarrow uacrv$, if $\delta(q, b) = (r, c, R)$; $b, c \in \Gamma$; $q, r \in Q$.
 - ▶ $uaqbv \rightarrow uracv$, if $\delta(q, b) = (r, c, L)$; $b, c \in \Gamma$; $q, r \in Q$.
 - ▶ $qbv \rightarrow rcv$, if $\delta(q, b) = (r, c, L)$; $b, c \in \Gamma$; $q, r \in Q$.
- ▶ If $r \in \{q_{\text{accept}}, q_{\text{reject}}\}$, computation halts.

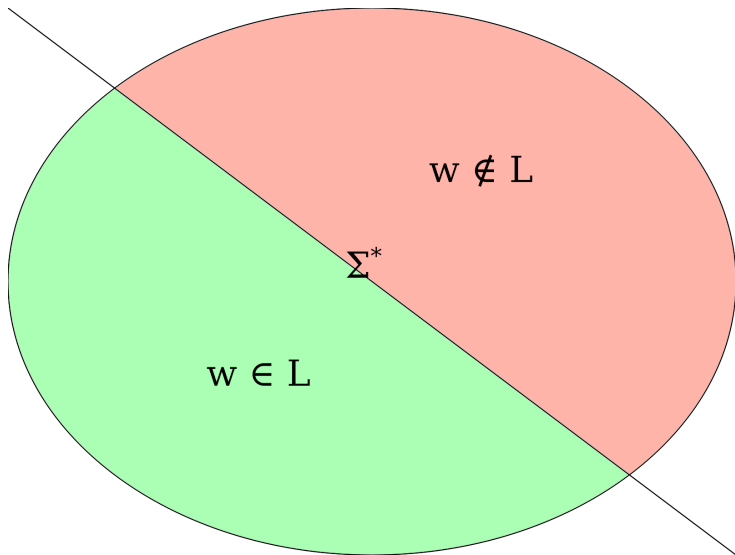
TM Results

- ▶ M **accepts** w if there is a sequence of configurations C_1, \dots, C_k such that
 1. $C_1 = q_0 w$.
 2. C_i yields C_{i+1} for each i .
 3. C_k is an accepting configuration (i.e. state of M is q_{accept}).
- ▶ M **rejects** w if there is a sequence of configurations C_1, \dots, C_k such that
 1. $C_1 = q_0 w$.
 2. C_i yields C_{i+1} for each i .
 3. C_k is a rejecting configuration (i.e. state of M is q_{reject}).
- ▶ M **halts** on w if it **accepts** or **rejects** w .
- ▶ M **loops** on w if it does not **halt** on w .

TMs and Language Membership

- ▶ $L(M) = \{w \mid M \text{ accepts } w\}$.
- ▶ L is **Turing-recognizable** if $L = L(M)$ for some TM M , and:
 - ▶ $w \in L \Rightarrow M$ halts on w in state q_{accept} .
 - ▶ $w \notin L \Rightarrow M$ halts on w in state q_{reject} OR M never halts (it “loops”).
- ▶ L is **(Turing-)?decidable** if $L = L(M)$ for some TM M , and:
 - ▶ $w \in L \Rightarrow M$ halts on w in state q_{accept} .
 - ▶ $w \notin L \Rightarrow M$ halts on w in state q_{reject} .

$w \in L$ or $w \notin L$



Example Language

- ▶ $B = \{w\#w \mid w \in \{0,1\}^*\}$
- ▶ B is not context-free. (Can be shown with CFL PL)
- ▶ B is decidable. (Can be shown with TM)

Formal Descriptions

Formal description of M_B , where $L(M_B) = B$.

- ▶ $Q = \{q_0, \dots, q_{\text{accept}}, q_{\text{reject}}\}$
- ▶ $\Sigma = \{0, 1, \#\}$
- ▶ $\Gamma = \{0, 1, \#, x, \sqcup\}$
- ▶ $\delta: \dots$

OR state diagram.

Implementation-level Descriptions

Let $M_B =$ “On input string w :

1. Until $\#$ is read.
2. Remember the symbol read, write x .
3. Move right until $\#$ or \sqcup seen.
4. If \sqcup , *reject*.
5. Move right while x seen.
6. If symbol read is \sqcup or not remembered symbol, *reject*.
7. Write x .
8. Move left until $\#$.
9. Move left until x .
10. Move right.
11. Move right until something other than x is read.
12. If symbol read is \sqcup , *accept*. Otherwise, *reject*.”

High-level Descriptions

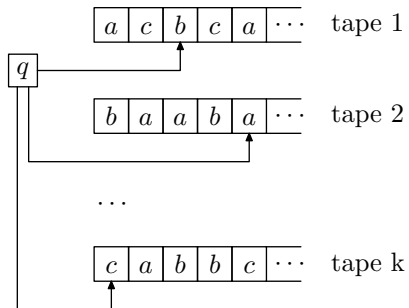
Let $M_B =$ “On input string w :

1. If there is no $\#$, *reject*.
2. For each symbol left of the $\#$, match against same position right of the $\#$. If there is a mismatch, *reject*.
3. If there are extra non-blank symbols right of the $\#$, *reject*.
4. *accept*.”

Multitape Machines

For a k tape machine:

$$\delta: Q \times \Gamma^k \rightarrow Q \times \Gamma^k \times \{L, R, S\}^k$$



Multitape Machines

Theorem 3.13

Every multitape Turing machine has an equivalent single-tape Turing machine.

Proof?

Nondeterministic Machines

$$\delta: Q \times \Gamma \rightarrow \mathcal{P}(Q \times \Gamma \times \{L, R\})$$

Nondeterministic Machines

Theorem 3.16

Every nondeterministic Turing machine has an equivalent deterministic Turing machine.

Proof?

The Church-Turing Thesis

Figure 3.22

Our *intuitive notion of algorithms* is equal to *Turing machine algorithms*.

Sample problem

Let $A = \{\langle G \rangle \mid G \text{ is a connected undirected graph}\}$.

Is A decidable?

Sample problem

Let $A = \{ \langle G \rangle \mid G \text{ is a connected undirected graph} \}$.

Is A decidable?

Let $M =$ “On input $\langle G \rangle$, the encoding of a graph:

1. Select the first node of G and mark it.
2. Repeat the following state until no new nodes are marked:
 3. For each node in G , mark it if it is attached by an edge to a node that is already marked.
4. Scan all nodes of G to determine whether they are all marked. If they are *accept*; otherwise *reject*.”

STOP

CGL STOP HERE

Decidability, a.k.a. Recursiveness

- ▶ L is **(Turing-)decidable** if there is a TM M s.t.
 - ▶ $w \in L \Rightarrow M$ halts on w in state q_{accept} .
 - ▶ $w \notin L \Rightarrow M$ halts on w in state q_{reject} .
- ▶ Other common terminology
 - ▶ Recursive = decidable
 - ▶ Recursively enumerable (r.e.) = Turing-recognizable
 - ▶ Because of alternate characterizations as sets that can be defined via certain systems of recursive (self-referential) equations.

Turing Machines

Objective: Define a computational model that is

- ▶ **General-purpose:**
(as powerful as programming languages)
- ▶ **Formally Simple:**
(we can prove what **cannot** be computed)

The Origins of Computer Science

Alan Mathison Turing

“On Computable Numbers, with an Application to the Entscheidungsproblem” 1936

CF also

- ▶ David Hilbert
“Mathematical Problems” 1900
- ▶ Kurt Gödel
“On Formally Undecidable Propositions . . .” 1931
- ▶ Alonzo Church
“An Unsolvable Problem of Elementary Number Theory” 1936

Example

Claim: $L = \{a^n b^n c^n : n \geq 0\}$ is decidable.

Questions

- ▶ Does every TM recognize some language?
- ▶ Does every TM decide some language?
- ▶ How many Turing-recognizable languages are there?
- ▶ How many decidable languages are there?

The Church-Turing Thesis

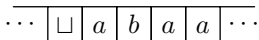
Reading: Sipser §3.2, §3.3.

“Computability”

- ▶ Defined in terms of Turing machines
- ▶ Computable = recursive/decidable (sets, functions, etc.)
- ▶ In fact an abstract, universal notion
- ▶ Many other computational models yield exactly the same classes of computable sets and functions
- ▶ Power of a model = what is computable using the model (extensional equivalence)
- ▶ Not programming convenience, speed (for now...), etc.
- ▶ All translations between models are **constructive**

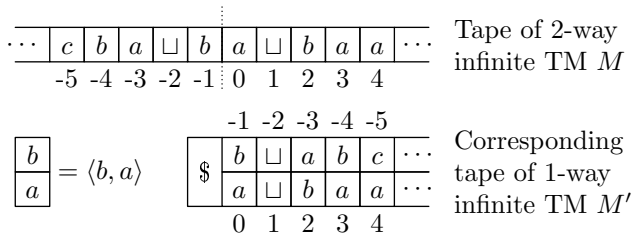
TM Extensions That Do Not Increase Its Power

- ▶ TMs with a 2-way infinite tape, unbounded to left and right



Proof that TMs with 2-way infinite tapes are no more powerful than the 1-way infinite tape variety.

“Simulation.” Convert any 2-way infinite TM into an equivalent 1-way infinite TM with a “two-track tape.”



Recall the Formal Definition of a TM:

A (deterministic) **Turing Machine (TM)** is a 7-tuple $(Q, \Sigma, \Gamma, \delta, q_0, q_{\text{accept}}, q_{\text{reject}})$, where:

- ▶ Q is a finite set of states, containing
 - ▶ the **start state** q_0
 - ▶ the **accept state** q_{accept}
 - ▶ the **reject state** $q_{\text{reject}} (\neq q_{\text{accept}})$
- ▶ Σ is the **input alphabet**
- ▶ Γ is the **tape alphabet**
 - ▶ Contains Σ
 - ▶ Contains “blank” symbol $\sqcup \in \Gamma - \Sigma$
- ▶ $\delta : Q \times \Gamma \rightarrow Q \times \Gamma \times \{L, R\}$ is the **transition function**.

Formalizing the Simulation of 2-way infinite tape TM

Formally, $\Gamma' = (\Gamma \times \Gamma) \cup \{\$\}$.

M' includes, for every state q of M , **two** states:

$\langle q, 1 \rangle \sim$ “ q , but we are working on upper track”

$\langle q, 2 \rangle \sim$ “ q , but we are working on lower track”

e.g. If $\delta_M(q, \sigma) = (q', \sigma', L)$ then $\delta_{M'}(\langle q, 1 \rangle, \langle \sigma, \tau \rangle) = (\langle q', 1 \rangle, \langle \sigma', \tau \rangle, R)$.

Also need transitions for:

- ▶ Lower track
- ▶ U-turn on hitting endmarker
- ▶ Formatting input into “2-tracks”

Describing Turing Machines

Formal Description

- ▶ 7-tuple or state diagram
- ▶ Most of the course so far

Implementation Description

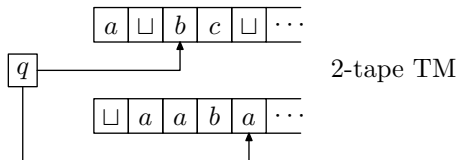
- ▶ Prose description of tape contents, head movements
- ▶ This lecture, some of next lecture, assignment 6

High-Level Description

- ▶ Does not refer to specific computational model
- ▶ Starting next time!

More extensions

- ▶ Adding **multiple tapes** does not increase power of TMs



(Convention: First tape used for I/O, like standard TM; Second tape is available for scratch work)

Simulation of multiple tapes

- ▶ Simulate a k -tape TM by a one-tape TM whose tape is split (conceptually) into $2k$ **tracks**:
 - ▶ k tracks for tape symbols
 - ▶ k tracks for head position markers (one in each track)

§	a	□	b	c	□	⋯
			↑			⋯
	□	a	a	b	a	⋯
					↑	⋯

(Sipser does a different simulation.)

Simulation steps

- ▶ To simulate **one move** of the k -tape TM:

Simulation steps

- ▶ To simulate **one move** of the k -tape TM:
 - ▶ Start with the head on the left endmarker
 - ▶ Scan down the tape, remembering in the finite control the symbols “scanned” by the k heads
 - ▶ Scan back up the tape, revising each track in the vicinity of its head marker
 - ▶ Return the head to the left endmarker

Speed of the simulation

- ▶ Note that the “equivalence” in ability to compute functions or decide languages does not mean comparable **speed**.

e.g. A standard TM can decide $L = \{w\#w : w \in \Sigma^*\}$ in time $\sim |w|^2$, but there is a **linear**-time 2-tape decider.

Speed of the simulation

- ▶ Note that the “equivalence” in ability to compute functions or decide languages does not mean comparable **speed**.
e.g. A standard TM can decide $L = \{w\#w : w \in \Sigma^*\}$ in time $\sim |w|^2$, but there is a **linear**-time 2-tape decider.
- ▶ Let $T_M : \Sigma^* \rightarrow \mathcal{N}$ measure the amount of time a decider M uses on an input. That is, $T_M(w)$ is the number of steps TM M takes to halt on input w .
- ▶ General fact about multitape to single-tape slowdown:
Theorem: If M is a multitape TM that takes time $T(w)$ when run on input w , then there is a 1-tape machine M' and a constant c such that M' simulates M and takes at most $cT(w)^2$ steps on input w .

Nondeterministic TMs

- ▶ Like TMs, but $\delta : Q \times \Gamma \rightarrow \mathcal{P}(Q \times \Gamma \times \{L, R\})$
- ▶ It mainly makes sense to think of NTMs as **recognizers**

$$L(M) = \{w : M \text{ has some accepting computation on input } w\}$$

Example: NTM to recognize

$\{w : w \text{ is a binary notation for a product of two integers } \geq 2\}$

Nondeterministic TMs

- ▶ Like TMs, but $\delta : Q \times \Gamma \rightarrow \mathcal{P}(Q \times \Gamma \times \{L, R\})$
- ▶ It mainly makes sense to think of NTMs as **recognizers**

$$L(M) = \{w : M \text{ has some accepting computation on input } w\}$$

Example: NTM to recognize

$\{w : w \text{ is a binary notation for a product of two integers } \geq 2\}$

1. Write any binary numeral (except 0 or 1) [N.D.]
2. Write \sqcup
3. Write any binary numeral (except 0 or 1) [N.D.]
4. Multiply
5. Compare product to the input; halt if they are equal, go into an infinite loop if not.

NTMs recognize the same languages as TMs

- ▶ Given a NTM M , we must construct a TM M' that determines, on input w , whether M has an accepting computation on input w .
- ▶ M' systematically tries
 - ▶ all one-step computations
 - ▶ all two-step computations
 - ▶ all three-step computations
 - ▶ ...

Enumerating computations

- ▶ There is a bounded number of k -step computations, for each k .
(because for each configuration there is only a constant number of “next” configurations in one step)
- ▶ Ultimately M' either:
 - ▶ discovers an accepting computation of M , and accepts itself,
or
 - ▶ searches forever, and does not halt

In More Detail

- ▶ Suppose that the maximum number of different transitions for a given (q, σ) is b .
- ▶ Number those transitions $1, \dots, b$ (or less)
- ▶ Any computation of k steps is determined by a sequence of k numbers $\leq b$ (the “nondeterministic choices”).
- ▶ How M' works: 3 tapes

#1 Original input to M \sqcup

#2 Simulated tape of M

#3 1213 \sqcup \dots Nondeterministic choices for M'

Simulating one step of M

- ▶ Each major phase of the simulation by M' is to simulate one finite computation by M , using tape #3 to resolve nondeterministic ambiguities.
- ▶ Between major phases, M'
 - ▶ erases tape #2 and copies tape #1 to tape #2
 - ▶ Replaces string in $\{1, \dots, b\}^*$ on tape #3 with the lexicographically next string to generate the next set of nondeterministic choices to follow.
- ▶ **Claim:** $L(M') = L(M)$
- ▶ **Q:** Slowdown?

Equivalent Formalisms

Many other formalisms for computation are equivalent in power to the TM formalism:

- ▶ TMs with 2-dimensional tapes
- ▶ Random-access TMs
- ▶ General Grammars
- ▶ 2-stack PDAs, 2-counter machines
- ▶ Church's λ -calculus (μ -recursive functions)
- ▶ Markov algorithms
- ▶ Your favorite high-level programming language (C, Lisp, Java, ...)
- ▶ ...

General Grammars

- ▶ Like context-free grammars, except that if $u \rightarrow v$ is a rule, then u may be any string containing a nonterminal.
- ▶ So the rule $AXY \rightarrow AYX$ where $A, X, Y \in V$, “means” that the two-symbol substring XY can be replaced by YX whenever it appears with an A to its left.

Example of a General Grammar

A grammar to generate $\{a^n b^n c^n : n \geq 0\}$.

$$\Sigma = \{a, b, c\} \quad V = \{A, B, C, A', B', C', S\}$$

- ▶ A, B, C are “aliases” for the terminal symbols a, b, c .
- ▶ Only a single occurrence of $A', B',$ or C' can be in the string being derived
- ▶ It “crawls” from right to left, transforming nonterminal symbols into terminals.

Rules for $a^n b^n c^n$

$$\blacktriangleright S \rightarrow ABCS \quad S \rightarrow C' \quad S \rightarrow \varepsilon$$

(Thus $S \xRightarrow{*} (ABC)^n C'$ for any $n \geq 0$)

$$\blacktriangleright CA \rightarrow AC \quad BA \rightarrow AB \quad CB \rightarrow BC$$

(Any inversions of the proper order can be repaired)

$$\blacktriangleright CC' \rightarrow C'c \quad CC' \rightarrow B'c$$

(The c -transformer can crawl to the left, and turn into a b -transformer)

$$\blacktriangleright BB' \rightarrow B'b \quad BB' \rightarrow A'b$$

$$\blacktriangleright AA' \rightarrow A'a \quad A' \rightarrow \varepsilon$$

The only way to get a string of **terminals** yields one of the form $a^n b^n c^n$.

Grammars and Turing Machines are Equivalent

Theorem: A language is generated by a grammar if and only if it is Turing-recognizable.

Proof:

1. L is generated by a grammar $\Rightarrow L$ is Turing-recognizable

Pf: Let $L = L(G)$, G a grammar. To construct a NTM M such that $L(M) = L$, construct M so that

M nondeterministically carries out a derivation

$S = w_0 \Rightarrow_G w_1 \Rightarrow_G w_2 \Rightarrow_G \dots$, checking each step to see if $w_i = w$.

L Turing-recognizable $\Rightarrow L$ is generated by a grammar.

2. L is recognized by a TM $M \Rightarrow L$ is generated by a grammar G

Pf: Without loss of generality, we assume that if M halts having started on input w , right before halting it erases its tape.

G will simulate a **backwards computation** by M . The intermediate strings will be configurations $\$uq\sigma v\$$.

Rules of the Grammar

- ▶ $S \rightarrow \$q_{\text{accept}}\$$
- ▶ If $\delta(q, \sigma) = (q', \sigma', R)$, then G has
 - $\sigma' q' \rightarrow q\sigma$
 - $\sigma' q' \$ \rightarrow q \$$, if $\sigma = \sqcup$
- ▶ If $\delta(q, \sigma) = (q', \sigma', L)$, then G has
 - $q' \tau \sigma' \rightarrow \tau q \sigma$ for each $\tau \in \Sigma$
 - $q' \tau \$ \rightarrow \tau q \sigma \$$, if $\sigma' = \sqcup$
 - $\$ q' \sigma' \rightarrow \$ q \sigma$
- ▶ Finally, $\$ \rightarrow \varepsilon$ and, if q_0 is the start state of the TM, $q_0 \rightarrow \varepsilon$

Reduction of TMs to 2-CMs

A 2-counter machine (2-CM) has:

- ▶ A finite-state control
- ▶ Two counters, i.e., C_1 and C_2 , which are registers containing integers ≥ 0 with only 3 operations:
 - ▶ Add 1 to C_1/C_2
 - ▶ Subtract 1 from C_1/C_2
 - ▶ Is $C_1/C_2 = 0$?

Theorem: For any TM, there is an equivalent 2-CM, in the sense that if you start the 2-CM with an encoding of the TM tape in its counters it will eventually halt with an encoding of what the TM computes.

Simulating a TM tape with 2 pushdown stores: Split the tape at the head position into two stacks

Moving TM head to left	≡	Pop from stack #1 Push onto stack #2
Moving TM head to right	≡	Pop from stack #2 Push onto stack #1
Change scanned symbol	≡	Change top of stack #1

(So 2-PDSs are as powerful as TMs)

Simulating One Stack with Two Counters:

Think of the stack as a number in a base $= |\Sigma| + 1$

[Assume ≤ 9 stack symbols]

Pop the stack \equiv Divide by 10 and
discard the remainder

Push a_9 \equiv Multiply by 10 and add 9

Is stack top = a_3 ? \equiv Is counter mod 10 = 3?

→ All of these can be calculated using a second counter.

Simulating Four Counters With Two:

$$(p, q, r, s) \rightarrow 2^p 3^q 5^r 7^s$$

Add 1 to $C1$	\equiv	$p \leftarrow p + 1$
	\equiv	Double $C1'$
Is $C3 \neq 0$?	\equiv	$r \neq 0$?
	\equiv	Does 5 divide $C1'$ evenly?
Subtract 1 from s	\equiv	Divide $C1'$ by 7

The Church-Turing Thesis

The equivalence of each to the others is a mathematical **theorem**.

That these **formal models** of algorithms capture our **intuitive notion** of algorithms is the **Church-Turing Thesis**.

(Church's thesis = partial recursive functions, Turing's thesis = Turing machines)

This is an extramathematical proposition, not subject to formal proof.

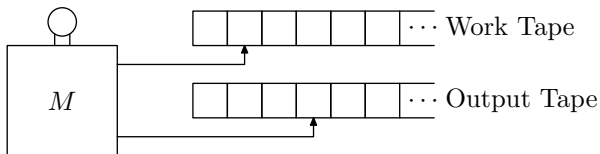
Decidability and a Universal Turing Machine

Reading: Sipser §4.1.

Another TM Variant: Enumerators

Def: A TM M **enumerates** a language L if M , when started from a blank tape, runs forever and “emits” all and only the strings in L .

(For example, by writing the string on a special tape and passing through a designated state.)



Recognizable \equiv enumerable

Theorem: L is Turing-recognizable iff L is enumerated by some TM.

Proof:

(\Rightarrow) Suppose $L(M) = L$. We want to construct a TM M' that enumerates L .

M' **dovetails** all of the computations by M :

1. Do 1 step of M 's computation on w_0
2. Do 2 steps of M on w_0 and w_1
3. Do 3 steps on each of w_0, w_1, w_2

where $w_0, w_1, \dots =$ lexicographic enumeration of Σ^* .

Outputting any strings w_i whose computations have accepted.

Recognizable \equiv enumerable, finis

(\Leftarrow) Conversely, suppose M enumerates L . We want to show that L is RE.

Given w , run M on the blank tape. Every time M passes through state q (the “enumeration state”) pause to see if w is on the output tape and halt if it is.

The language **recognized** by this algorithm is exactly the language **enumerated** by M . QED.

- ▶ The Turing-decidable sets are usually called **recursive** because they can be computed using certain systems of recursive equations, rather than via TMs.
- ▶ The Turing-recognizable sets are usually called **recursively enumerable**, i.e., “computably enumerable.”

Enumerable in order \equiv decidability

Theorem: L is decidable iff L is enumerable in lexicographic order.

(lexicographic order has shorter strings before longer, and alphabetic order among strings of the same length)

Proof of \Rightarrow : If L is decidable, then to enumerate L in order, generate all of Σ^* in order and test each string for membership in L , enumerating those that are members.

Almost proof of \Leftarrow : to test if $w \in L$, enumerate L and wait until either w or a lexically later string is enumerated. ????

Decidability

- ▶ Recall that a language $L \subseteq \Sigma^*$ is decidable if there is a TM that always halts when started on an input in Σ^* , in either q_{accept} if $w \in L$ or q_{reject} if $w \notin L$.
- ▶ **Proposition:** Every regular language is decidable.
Proof: (By “coding” a DFA as a TM.)

Asking questions about arbitrary finite automata

- ▶ **Q:** What if the DFA D is part of the input? That is, can we design a single TM that, given two inputs, D and w , decides whether D accepts w ?

- ▶ The TM needs to use a fixed alphabet & state set for all inputs D , w .

Q: How to represent $D = (Q, \Sigma_D, \delta, q_0, F)$ and w ?

List each component of the 5-tuple, separated by '|'.s.

- ▶ Represent elements of Q as binary strings over $\{0, 1\}$, separated by '|'.s.
- ▶ Represent elements of Σ_D as binary strings over $\{0, 1\}$, separated by '|'.s.
- ▶ Represent $\delta : Q \times \Sigma_D \rightarrow Q$ as a sequence of triples (q, σ, q') , separated by '|'.s, etc.

We denote the encoding of D and w as $\langle D, w \rangle$.

A “Universal” algorithm for deciding regular languages

- ▶ **Proposition:** $A_{\text{DFA}} = \{\langle D, w \rangle : D \text{ a DFA that accepts } w\}$ is decidable.

Proof sketch:

- ▶ First check that input is of proper form.
- ▶ Then simulate D on w . Implementation on a multitape TM:
 - ▶ Tape 2: String w with head at current position (or to be precise, its representation).
 - ▶ Tape 3: Current state q of D (i.e., its representation).
- ▶ Could work with other encodings, e.g., transition function as a matrix rather than list of triples.

Representation independence

- ▶ **General point:** Notions of computability (e.g. decidability and recognizability) are independent of data representation.
 - ▶ A TM can convert any reasonable encoding to any other reasonable encoding.
 - ▶ We will use $\langle \cdot \rangle$ to mean “any reasonable encoding”.
 - ▶ We will revisit representation issues when we discuss computational **speed**.
 - ▶ For the moment we are interested only in whether problems are decidable, undecidable, recognizable, etc., so we can be content knowing that there is **some** representation on which an algorithm could work.

Describing Turing Machines

Formal Description

- ▶ 7-tuple or state diagram
- ▶ Most of the course so far

Implementation Description

- ▶ Prose description of tape contents, head movements
- ▶ Previous lecture and today's lecture so far

High-Level Description

- ▶ Does not refer to specific computational model, data representation
- ▶ From now on!

More Decidable Problems

- ▶ $\{\langle R, w \rangle : R \text{ is a regular expression that generates } w\}$.
- ▶ $\{\langle X \rangle : X \text{ is a DFA/NFA/RE such that } L(X) = \emptyset\}$.
- ▶ $\{\langle X \rangle : X \text{ is a DFA/NFA/RE such that } |L(X)| = \infty\}$.
- ▶ $\{\langle M, w \rangle : M \text{ is a PDA that accepts } w\}$.
- ▶ Every context-free language.

A Universal Turing machine

- ▶ **Theorem:** There is a Turing machine U , such that when U is given $\langle M, w \rangle$ for any TM M and w , U produces the same result (accept/reject/loop) as running M on w .

Proof: Initially,

- ▶ First tape contains $\langle M \rangle$, including in particular its transition function δ_M .
- ▶ Second tape contains $\langle w \rangle$.
- ▶ Third tape contains $\langle q_{\text{start}} \rangle$.
- ▶ Simulate steps of M by multiple steps of U .

(Brief return to implementation description.)

⇒ Turing machines can be “programmed”.

Consequences of the existence of Universal Turing Machines

- ▶ **Corollary:** $A_{\text{TM}} = \{\langle M, w \rangle : M \text{ accepts } w\}$ is Turing-recognizable (r.e.).
- ▶ **Corollary:** $\text{HALT}_{\text{TM}} = \{\langle M, w \rangle : M \text{ eventually halts on } w\}$ (“The Halting Problem”) is Turing-recognizable.
- ▶ **Corollary:** “The Turing Machines that halt on some input are an r.e. set” (What does this mean?)
- ▶ **Q:** What about $\{\langle M, w, n \rangle : M \text{ halts on } w \text{ in at most } n \text{ steps}\}$?
- ▶ **Q:** Are these sets decidable?
- ▶ **Q:** Are there undecidable languages?

Three basic facts on decidability vs. recognizability

1. If L is recursive, then L is r.e.

Proof:

Three basic facts on decidability vs. recognizability

1. If L is recursive, then L is r.e.

Proof:

If M decides L , then a machine can recognize L by running M , and then going into an infinite loop if M would have halted in the q_{reject} state.

2. If L is recursive then so is \bar{L} .

Proof:

Three basic facts on decidability vs. recognizability

1. If L is recursive, then L is r.e.

Proof:

If M decides L , then a machine can recognize L by running M , and then going into an infinite loop if M would have halted in the q_{reject} state.

2. If L is recursive then so is \bar{L} .

Proof:

A machine can decide \bar{L} by running M and then giving a “no” answer when M would give “yes” and *vice versa*.

3. L is recursive if and only if both L and \bar{L} are r.e.

Proof:

...